



TRIM SUPPLIER
QUALIFICATION
PROGRAM

Measured Results - Improved Performance

Trim Supplier Qualification Program Assessment Report

TQT Textile Joint Stock Company



Report No: F_IAR_129448_TQP

Audit Date: 08-Jun-2019

Long An, Vietnam

Trim Supplier Qualification Program Assessment Report

Report No	F_IAR_129448_TQP
Audit Date	Jun 08, 2019
Assessment Stage	Initial
Company Full Name	TQT Textile Joint Stock Company
Audit Location	No. 117B, Provincial Road 835, Loc Tien Hamlet, My Loc Commune, Can Giuoc District, Long An Province
	-
City	-
Country	Vietnam
Telephone No	84 906707733
Fax No	
Auditor(s) Name	Thinh Nguyen Phuc, Long Pham

Facility Performance Rating

Participating Facilities : 559

High Performance

Meet Expectations.

Facility demonstrates readiness to assume responsibilities for managing and monitoring compliance activities.

Medium Performance

Further Improvement Needed.

Moderate concern in facility's readiness to assume responsibilities for managing and monitoring compliance activities.

Low Performance

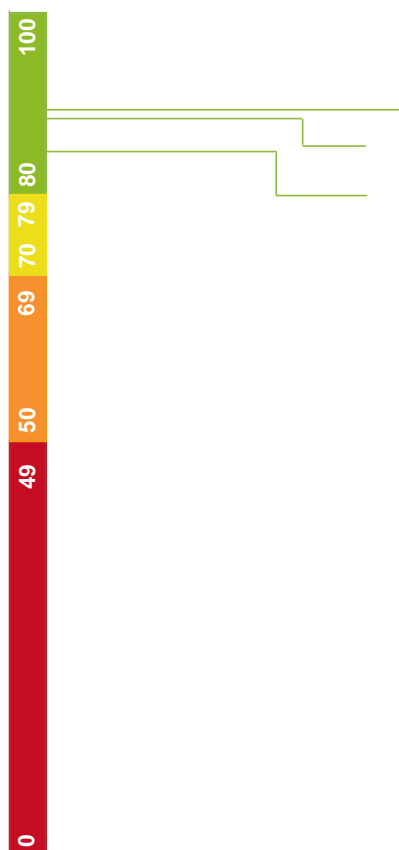
Significant Action Required.

Significant concern in facility's readiness to assume responsibilities for managing and monitoring compliance activities.

Very Low Performance

Urgent Action Required.

Severe concern in facility's readiness to assume responsibilities for managing and monitoring compliance activities.



Facility Score **89**

Country Score **88**

Global Score **84**

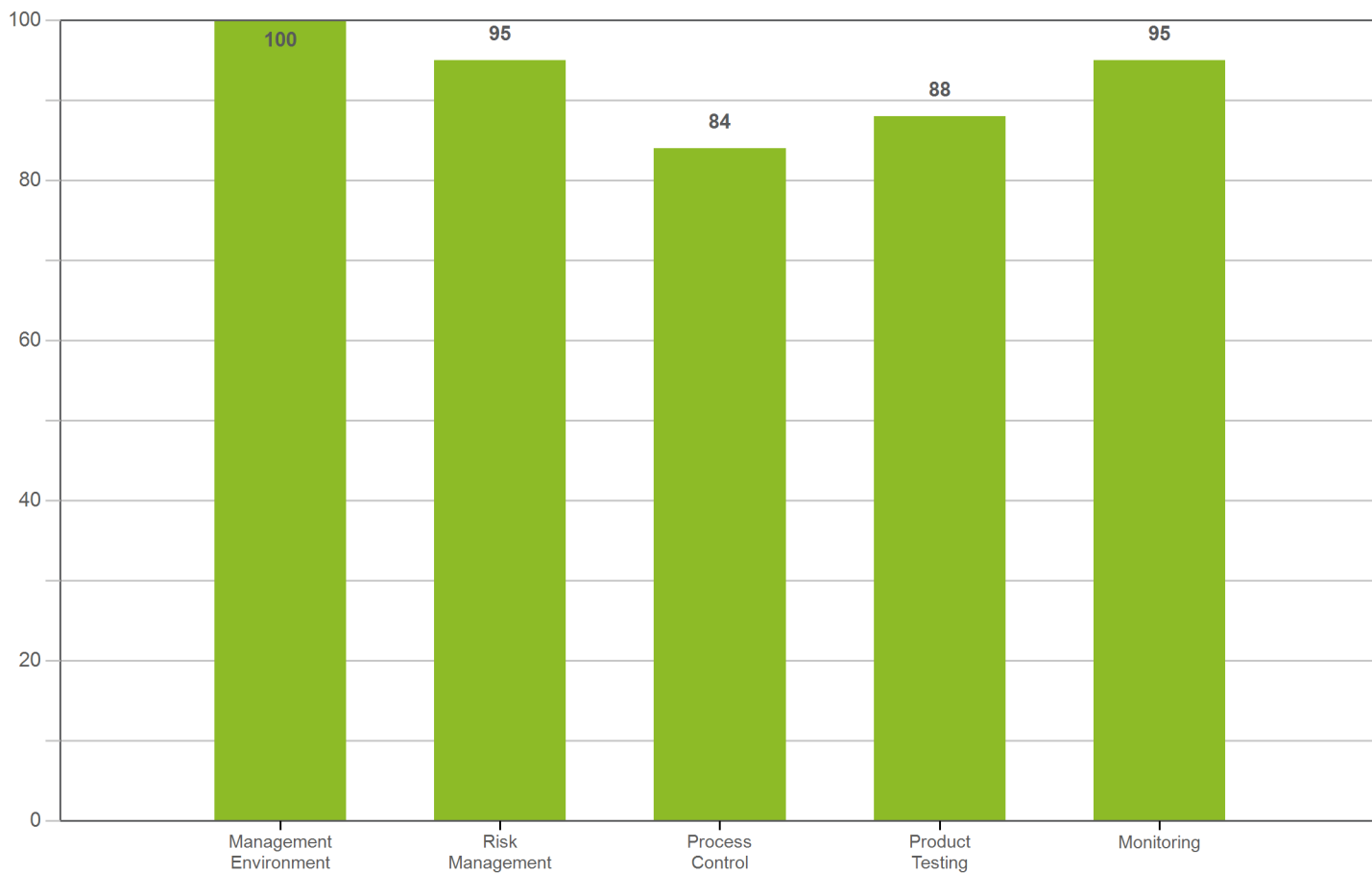
Facility Profile

Facility Name		TQT Textile Joint Stock Company	
Facility Legal Name		TQT Textile Joint Stock Company	
Contact Name,Title		Ms. Tang Ngoc Yen – Vice Director	
Industry		Accessories	
Products Manufactured		Taping, Elastic and Drawstring.	
Production Processes		Material, spinning, winding, inspection, glueing, packing and storage.	
Total Number of Machines		40	
Main Machine Types		Winding machine, spinning machines and knitting machine	
Year facility began operations		2017	
Number of buildings the facility operates in		One building is used for office and production workshop.	
Number of the employees at the facility	Total	92	
	Product Development	1	
	Production	75	
	Product Testing	1	
	Regulatory Compliance/Product Safety	1	
	Quality Assurance/Inspection	4	
	Other	10	
Number of shifts and operating hours		One working shift is from 8:00 to 17:00 for administration; 2 working shift for production (shift 1 is from 7:30 to 19:30 and shift 2 is from 19:30 to 7:30 of following day).	
Out-sourced components used to manufacture		No	
Outsourced/Sub-contracted Manufacturing Processes		Yes	
	Manufacturing Process	Supplier	How the Process is Monitored
1	Dyeing process	Gitai Textile Co., Ltd	The facility requires the subcontractor to conduct and sent test result base on the CPSIA requirement.

Facility Overview

TQT Textile Joint Stock Company is located at 117B, Provincial Road 835, Loc Tien Hamlet, My Loc Commune, Can Giuoc District, Long An Province, Vietnam. They have started their production since 2017 in existing location with business license No. 0304701430-004 as manufacturer of taping, elastic and drawstring. Production processes include material, spinning, winding, inspection, glueing, packing and storage. Main machines are weaving machines. The capacity is 15,000,000 meters per month. The total land area occupied by the facility is about 6,000 square meters. The facility had 1 building used for production, office and warehouse. Total of 92 employees are currently working in the facility with one working shift is from 8:00 to 17:00 for administration; two working shifts for production (shift 1 is from 7:30 to 19:30 and shift 2 is from 19:30 to 7:30 of following day). There is official QC manager to take care of quality management system in the facility. There is trained QC team with total 5 members to control inspection. The facility is not certified for ISO 9001:2015. The facility has certificate of STANDARD 100 By OEKO-TEX and valid until 31 July 2019.

I. Facility Performance Summary



II. Facility Performance Summary & Section Performance Analysis

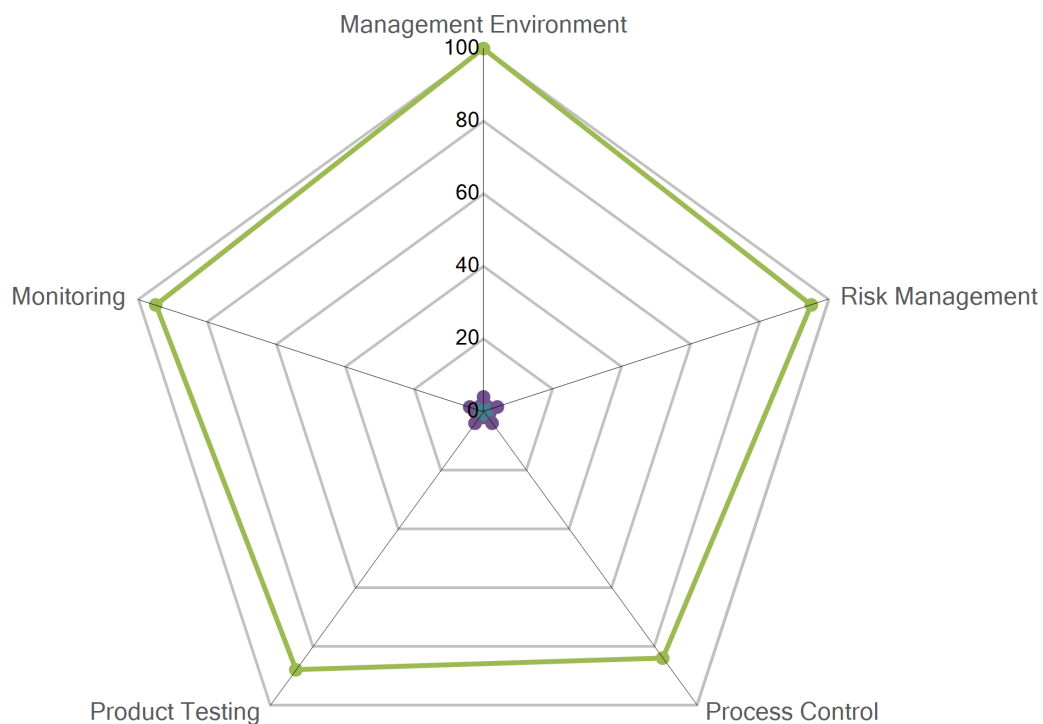
Performance Summary	Overall Compliance(%)	Non Compliance (%)							
		Critical		Major		Moderate		Minor	
		# of Questions	%	# of Questions	%	# of Questions	%	# of Questions	%
Facility Summary	89%	0	0.0%	0	0.0%	5	8.6%	8	2.2%



Key Section Name	Section Compliance		Non Compliance (%)								# of Total Questions
			Critical		Major		Moderate		Minor		
	# of Questions	%	# of Questions	%	# of Questions	%	# of Questions	%	# of Questions	%	
Management Environment	28	<div><div>100%</div></div>	0	0.0%	0	0.0%	0	0.0%	0	0.0%	28
Risk Management	30	<div><div>95%</div></div>	0	0.0%	0	0.0%	0	0.0%	2	5.2%	32
Process Control	164	<div><div>84%</div></div>	0	0.0%	0	0.0%	4	12.5%	5	3.7%	173
Product Testing	79	<div><div>88%</div></div>	0	0.0%	0	0.0%	1	12.2%	0	0.0%	80
Monitoring	31	<div><div>95%</div></div>	0	0.0%	0	0.0%	0	0.0%	1	5.3%	32
Overall Score	332	<div><div>89%</div></div>	0	0.0%	0	0.0%	5	8.6%	8	2.2%	345



III. Performance Trend Analysis



—●— Current Assessment (08-Jun-2019)
 —●— Last Assessment (Not Applicable)
 —●— First Assessment (Not Applicable)

Section Name	Current	Last	First	Change (Current-Last)	Change (Current-First)
Management Environment	100	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Risk Management	95	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Process Control	84	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Product Testing	88	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Monitoring	95	Not Applicable	Not Applicable	Not Applicable	Not Applicable
Overall Score	89	Not Applicable	Not Applicable	Not Applicable	Not Applicable

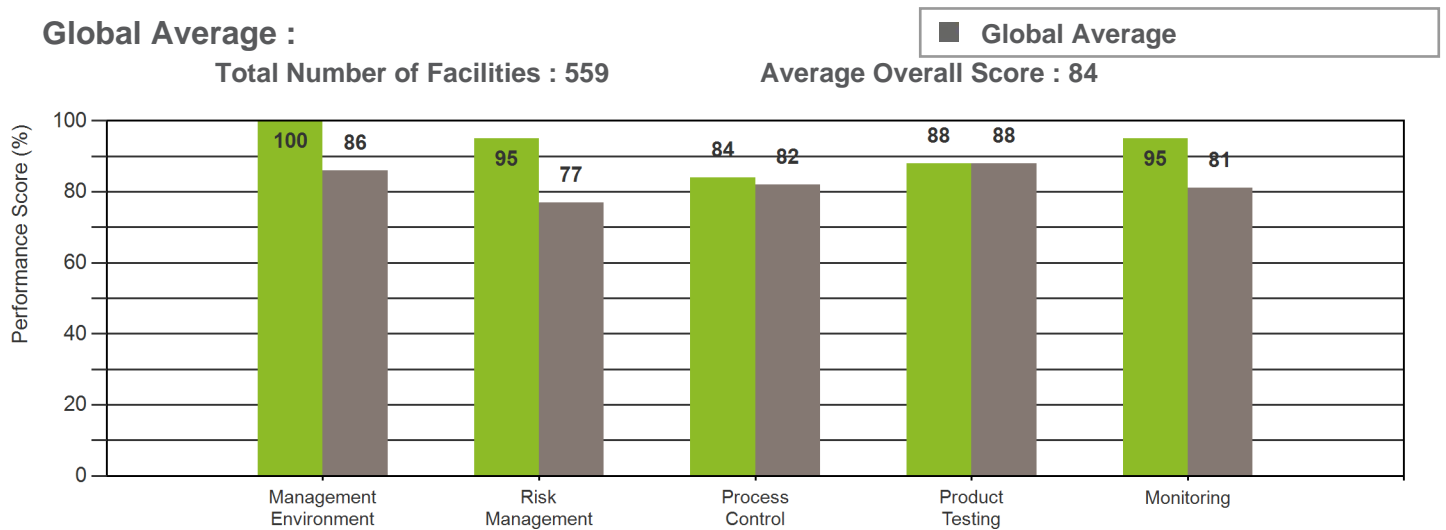
▲ Advancers
 ■ Constant
 ▼ Decliner

IV. Comparison Benchmark

Global Average :

Total Number of Facilities : 559

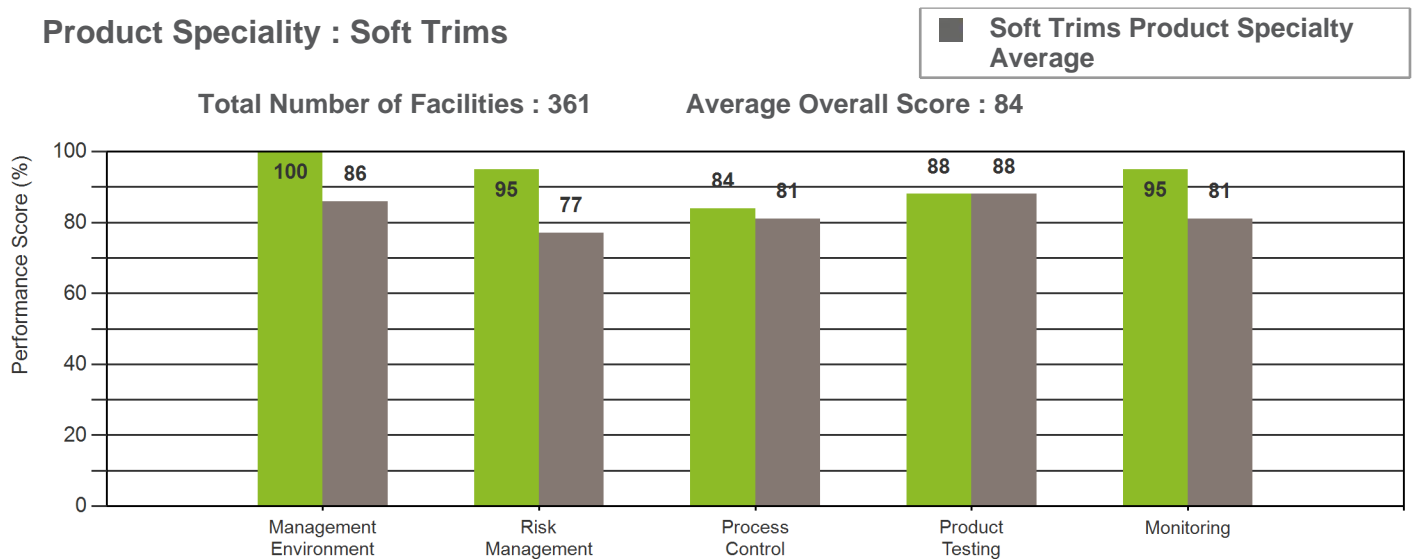
Average Overall Score : 84



Product Speciality : Soft Trims

Total Number of Facilities : 361

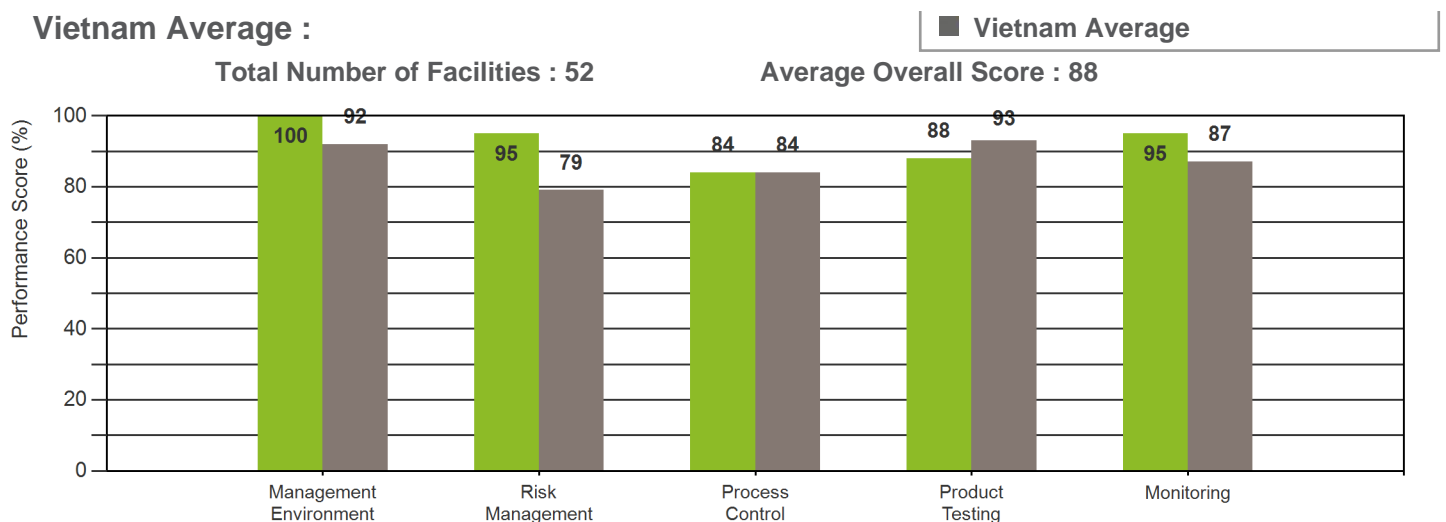
Average Overall Score : 84



Vietnam Average :

Total Number of Facilities : 52

Average Overall Score : 88



Very Low Performance(0 - 49)

Low Performance(50 -69)

Medium Performance (70 - 79)

High Performance (80 – 100)

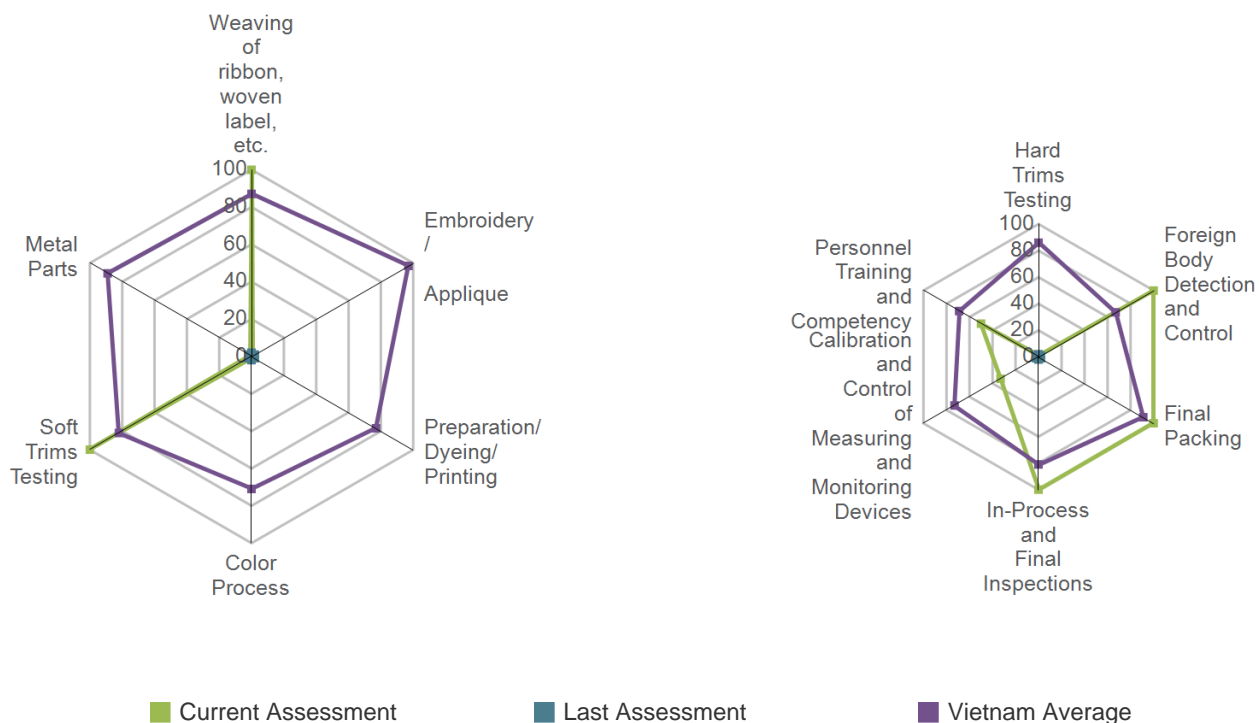
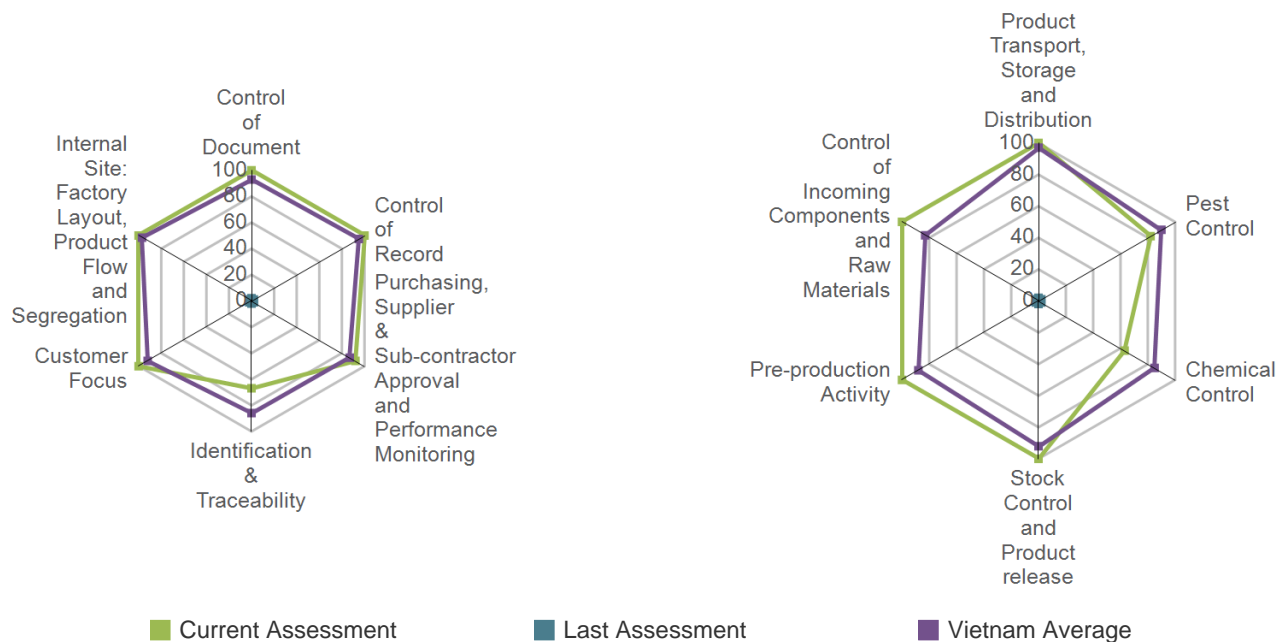
V. Sub Section Comparison Benchmark: Management Environment



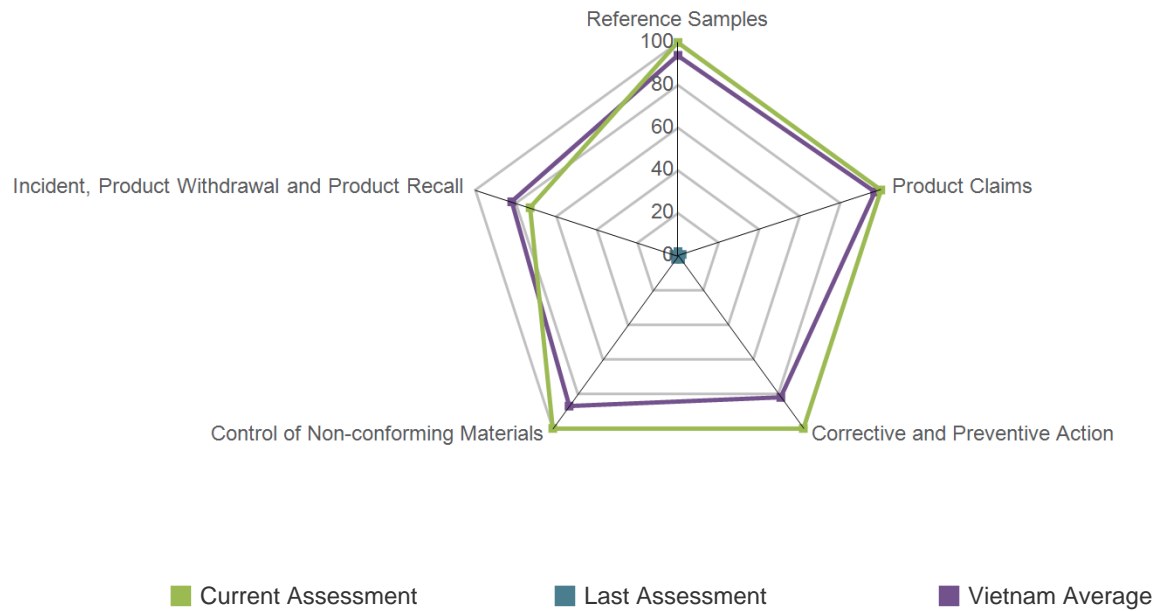
VI. Sub Section Comparison Benchmark: Risk Management



VII. Sub Section Comparison Benchmark: Process Control



VIII. Sub Section Comparison Benchmark: Monitoring



IX. Key Strengths and Challenges

Facility Strengths	Rating	Global Freq. of Compliance%
The company establishes a product risk assessment for each product or a group of similar products.	Moderate	59%

Facility Challenges	Rating	Global Freq. of Compliance%
Records of calibration and verification are not maintained for a defined period.	Moderate	94%
The company does not record the usage of chemical substances or components during production to ensure traceability.	Moderate	92%
A few isolated cases are found that work instructions are not available for operator as a guideline at an accessible place or workstation.	Minor	90%
Bait stations are neither robustly constructed, tamper resistant nor in good condition.	Minor	88%
The company does not adopt 'First-in and First-out' logistic concept on its warehouse management for the chemicals with expiry date.	Minor	85%
The process risk assessment does not identify records of monitoring & reviews.	Minor	82%
A few isolated cases are found that the company does not review the performance of new suppliers & sub-contractor against criteria within a specified 'trial' period and thereafter at a specified frequency.	Minor	81%
The company does not regularly identify training needs (including refresher training) for personnel performing work that affects product safety, legality and quality.	Moderate	81%
The identified measuring equipment are not calibrated before use and then at defined frequency.	Moderate	77%
The product withdrawal and recall procedure is not tested, at least annually.	Minor	75%

Top 10 Challenges For Accessories Industry

Moderate	The on-site testing laboratory is not conditioned for 72F and 65% RH
Major	One or two elements of document revision, issue date and proper approval is missing.
Moderate	The company does not have a written agreements/consensus in place with relevant parties in the supply chain regarding the product withdrawal/recall.
Moderate	Infant products including silk & anything in red or bright color families are not tested on 'colorfastness to saliva' test.
Moderate	The company establish a product risk assessment but it does not completely cover the necessary elements.
Moderate	There is no escalation plan when the assessment resulted in unacceptable risk.
Moderate	Sharp tools (e.g., trimmers) are not permanently attached to benches.
Moderate	The company's management only defines the quality objectives but no product safety objectives.
Moderate	The risk assessment is not carried out by competent personnel (internal or external).

Top 10 Challenges For Vietnam

Moderate	The identified measuring equipment are not calibrated before use and then at defined frequency.
Moderate	The on-site testing laboratory is not conditioned for 72F and 65% RH
Moderate	Personnel, who have a direct effect on the product safety, quality or legality, are not trained on risk assessment procedures or outcomes as necessary for their activity.
Moderate	The company establish a product risk assessment but it does not completely cover the necessary elements.
Moderate	The risk assessment is not carried out by competent personnel (internal or external).
Moderate	The company does not analyse and use complaint data to implement ongoing improvements.
Moderate	Sharp tools (e.g., trimmers) are not permanently attached to benches.
Moderate	The company does not regularly identify training needs (including refresher training) for personnel performing work that affects product safety, legality and quality.
Moderate	No training is provided to the employee who conduct the evaluation of product information or specification.

X. Opportunities for Improvement

Performance Rating



95%

Section: Risk Management

SubSection: Risk Assessment (Documentation)

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Minor	N/A	22.00	The company conduct process risk assessment of hazards potentially introduced during the production, packaging or storage processes but it does not completely cover the necessary elements. <i>The facility has conducted process risk assessment but it not cover all necessary elements (missing monitoring and records review).</i>	35%
Minor	N/A	24.06	<ul style="list-style-type: none"> The process risk assessment does not identify records of monitoring & reviews. <i>Records of monitoring & reviews was not included in process risk assessment.</i> 	82%

84%

Section: Process Control

SubSection: Purchasing, Supplier & Sub-contractor Approval and Performance Monitoring

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Minor	N/A	48.00	A few isolated cases are found that the company does not review the performance of new suppliers & sub-contractor against criteria within a specified 'trial' period and thereafter at a specified frequency. <i>The facility reviewed performance of new suppliers & sub-contractor against criteria and records were well maintained. However, no specified 'trial' period and thereafter at a specified frequency to decide the level of ongoing performance monitoring was applied.</i>	81%

SubSection: Identification & Traceability

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Minor	N/A	55.00	The effectiveness of the traceability system regularly are not tested, at least annually.	74%

SubSection: Pest Control

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Minor	N/A	82.00	Bait stations are neither robustly constructed, tamper resistant nor in good condition. <i>There was no bait station in facility.</i>	88%

SubSection: Chemical Control

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Minor	N/A	98.00	The company does not adopt 'First-in and First-out' logistic concept on its warehouse management for the chemicals with expiry date. <i>The factory did not adopt 'First-in and First-out' logistic concept on its warehouse management for the chemicals with expiry date.</i>	85%

Moderate	N/A	99.00	The company does not record the usage of chemical substances or components during production to ensure traceability. <i>The facility did not record the usage of chemical substances or components during production to ensure traceability.</i>	92%
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SubSection: Calibration and Control of Measuring and Monitoring Devices

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Moderate	N/A	216.00	The identified measuring equipment are not calibrated before use and then at defined frequency. <i>One weight scale in material warehouse was not calibrated.</i>	77%
Moderate	N/A	218.00	Records of calibration and verification are not maintained for a defined period. <i>The calibration records of machines (light box, crocking test, etc.) from testing service were not available for review.</i>	94%

SubSection: Personnel Training and Competency

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Moderate	N/A	223.00	The company does not regularly identify training needs (including refresher training) for personnel performing work that affects product safety, legality and quality. <i>The facility did not identify training needs (including refresher training) for personnel performing work that affects product safety, legality and quality.</i>	81%

SubSection: Control of Operations

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Minor	N/A	234.00	A few isolated cases are found that work instructions are not available for operator as a guideline at an accessible place or workstation. <i>No work instruction of knitting machines provided and accessible at workstation.</i>	90%

88% Section: Product Testing

SubSection: CPSIA Product Certification and Testing Rules

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Moderate	N/A	374.00	The on-site testing laboratory is not conditioned for 72F and 65% RH <i>The laboratory was not conditioned for 72F and 65% RH.</i>	59%

95%

Section: Monitoring

SubSection: Incident, Product Withdrawal and Product Recall

Current (08-Jun-2019)	Last (NA)	ID	Findings	Global Freq. of Compliance %
Minor	N/A	416.00	The product withdrawal and recall procedure is not tested, at least annually. <i>Product withdrawal and recall procedure was not tested annually.</i>	75%

Recommendation for Improvement Plan Timeline

Finding Rating	Improvement Timeline
Critical	Take immediate action, to make necessary improvements
Major	Take action within 0 ~ 1 month to make necessary improvements
Moderate	Take action within 0 ~ 3 months to make necessary improvements
Minor	Take action within 0 ~ 6 months to make necessary improvements

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